Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
17146-004001Application No.
10/826,950**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Stephen K. Pinto et al.Filing Date
April 16, 2004Group Art Unit
2123**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KB/	AA	6,430,539	08/06/2002	Lazarus et al.			
/KB/	AB	6,879,971	04/12/2005	Keeler et al.			
/KB/	AC	6,954,758	10/11/2005	O'Flaherty			
/KB/	AD	7,043,461	05/09/2006	Kedher et al.			
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/KB/	AF	2005/0096950	05/2005	Caplan et al.			
/KB/	AG	2003/0004777	01/2003	Phillips			
/KB/	AH	5,621,812	04/1997	Deaton et al.			
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
/KB/	AL	WO 02/19061	07/03/2002	WIPO				
/KB/	AM	WO 03/005232	16/01/2003	WIPO				
/KB/	AN	PCT/US05/11749	11/2/2006	Copy of PCT ISR				
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
/KB/	AQ	Harrison, H.C., "An Intelligent Business Forecasting System," ACM May 1993, pp. 229-236.
/KB/	AR	Haughton et al., "A Review of Software Packages for Data Mining," The American Statistician, November 2003, Vol. 57, No. 5, pp. 290-309.
/KB/	AS	Angus, Enterprise Miner 5.1 Digs Into Data, InforWorld, August 9, 2004, Vol. 26, No. 32, pp. 22-23.
/KB/	AT	Chapman et al., CRISP-DM 1.0 Step-by-Step Data Mining Guide, August 2000, pp. 27-31, 53-59, 71-79.

Examiner Signature

/Kalpana Bharadwaj/

Date Considered

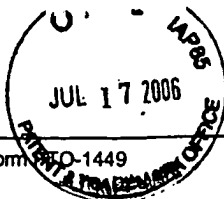
03/22/2007

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Other Documents (include Author, Title, Date, and Place of Publication)		
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/KB/	AU	Cabena et al., Intelligent Miner for Data Applications Guide, IBM Redbook, SG24-5252-00, March 1999, pp. 9-17, 27-35, 69-77, 87-108, 111-128.
/KB/	AV	Schikora et al., Efficacy of End-User Neural Network and Data Mining Software for Predicting Complex System Performance, International Journal of Production Economics, Vol. 84, pp. 231-53, June 2003.
/KB/	AW	Bhattacharyya, Evolutionary Algorithms in Data Mining: Multi-Objective Performance Modeling for Direct Marketing, Proceedings of the Sixth ACM SIGKDD International Conference on Knowledge Discovery and Data Mining, pp. 465-73, Aug. 2000.
/KB/	AX	Pearce et al., Experimentation and Self Learning in Continuous Database Marketing, IEEE International Conference on Data Mining, pp. 775-8, December 2002.
/KB/	AY	Etzion et al., e-CLV: A Modeling Approach for Customer Lifetime Evaluation In e-Commerce Domains, With an Application and Case Study for Online Auctions, IEEE International Conference on e-Technology, e-Commerce and e-Service, pp. 149-56, March 2004.

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Sheet 1 of 1

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**Information Disclosure Statement
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(Use several sheets if necessary)

(37 CFR §1.98(b))

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/KB/	AA	6,956,941	10/18/2005	Duncan et al.	379	265.01	
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	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
/KB/	AL	2,241,119	2002/03/07	Canada				
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	AN							
	AO							
	AP							

Other Documents (Include Author, Title, Date, and Place of Publication)		
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	AR	
	AS	
	AT	

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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified) Information Disclosure Statement By Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-004001	Application No. 10/826,950
	Applicant Stephen K. Pinto et al.		
	Filing Date April 16, 2004	Group Art Unit 2123	

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/KB/	AA	5,692,107	11/25/1997	Simoudis et al.			
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/KB/	AC	6,185,543	02/06/2001	Galperin et al.			
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	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)		
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/KB/	AP	Commercial software: SPSS Clementine: www.spss.com/Clementine/index.htm
/KB/	AQ	"On-line Seminar: How to keep customers and increase profits using predictive analytics powered by Clementine", www.spss.com/epscbi/directresponse/clementinewebinar/index.cfm?dcode=d418j
/KB/	AR	"SAS Enterprise Miner", www.sas.com/technologies/analytics/datamining/miner
/KB/	AS	SAS JMP, www.jmp.com
/KB/	AT	Clifford C. Clogg, et al., "Multiple Imputation of Industry and Occupation Codes in Census Public-Use Samples Using Bayesian Logistic Regression", <i>Journal of the American Statistical Association</i> , Vol. 86, No. 413, pp. 68-78 (March 1991)

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
/KB/	BA	Roderick J.A. Little et al., <u>Statistical Analysis with Missing Data (2nd Edition)</u> , John J. Wiley & Sons, Inc., (2002), pp. 19-22; 166-188; and 292-309.
/KB/	BB	Donald B. Rubin, "Using Empirical Bayes Techniques in the Law School Validity Studies", <u>Journal of the American Statistical Association</u> , Vol. 75, No. 372, pp. 801-816 (December 1980)
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	BD	

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